

Lead-Based Paint Disclosure Rule Audit Policy Penalty Initiative
Compliance Assistance Outreach Survey

1. To begin, please indicate if you have received EPA/ HUD mailings focused on the Lead Disclosure Rule and the Self Audit Policy (Yes / No / Don't Know)

1 2 3

(If no, thank participant for their time and discontinue survey)

If yes, was this the first time you became aware of the:

(Yes/ No)

2. Lead Disclosure Rule 1 2

3. Self Audit Policy 1 2

(If Yes to both 2 and 3, skip 4 -6)

Prior to receiving the mailing were you aware of :

(Yes /No)

1 2

4. Lead Disclosure Rule 1 2

5. Self Audit Policy 1 2

6. If you had prior knowledge of the Lead Disclosure Rule and/ or the Self Audit Policy, from where did you receive this information. Please check all that apply

☐ Other EPA guidance documents

☐ State mailings or publications

☐ HUD mailings or publications

☐ Internet

☐ EPA

☐ HUD

☐ Other _____

☐ Trade organizations

Please indicate which organizations _____

☐ Hotline / Clearinghouse

☐ EPA

☐ HUD

☐ Lead Hotline

☐ Other _____

☐ Other _____

7. Did the mailing provided enough understandable information for you to comply with the Lead Disclosure Rule? (Yes/ No)

1 2

8. If no, please explain _____

9. Did the mailing clearly state what was necessary in order to qualify to have penalties waived or reduced? (Yes/ No)

1 2

10. If no, please explain _____

Please indicate any changes or proposed improvements that may have occurred as a result of EPA Lead Disclosure mailings. (Yes, No, Plan To, Already In Use, Not Applicable)

1 2 3 4 5

Have You:

- | | |
|---|-----------|
| 11. Shared knowledge and awareness gained from mailings with others | 1 2 3 4 5 |
| 12. Implemented Risk Assessment- On Site Investigations
(According to TSCA regulations for Lead Safety) | 1 2 3 4 5 |
| 13. Provided educational information to tenants (purchaser or lessee) | 1 2 3 4 5 |
| 14. Provided abatement information or assistance to tenants if necessary | 1 2 3 4 5 |
| 15. Participated in voluntary abatement measures | 1 2 3 4 5 |
| 16. Conducted a Self-Audit | 1 2 3 4 5 |
| 17. Included Lead Warning Statement with Lease /Contract Information | 1 2 3 4 5 |
| 18. Changed / Improved Record Keeping (Audits, Contaminated Units)
(According to TSCA regulations for Lead Safety) | 1 2 3 4 5 |
| 19. Other, please describe _____ | |

20. Did you feel that the deadline for participating in the Lead Disclosure Rule provided you with enough time to decide if this initiative was beneficial to your organization? (Yes/ No)

1 2

21. Why or Why Not, Please explain your experiences in understanding the audit policy.

22. Was the ability to have penalties waived an incentive for you to participate in this initiative?
(Yes/ No)

1 2

23. Under the Self Audit Policy what methods are most likely to generate actions for greater compliance?

- ___Penalty cap
- ___Offering of technical assistance
- ___Civil Liability
- ___Others _____

24. In what ways do you feel EPA could improve communication based on the Lead Disclosure Rule and the Self Audit Policy? _____

Comments:

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